



Schweizerische Eidgenossenschaft
Confédération suisse
Confederazione Svizzera
Confederaziun svizra

Federal Department of Foreign Affairs FDFA
Swiss Agency for Development and Cooperation SDC
Federal Department of Economic Affairs,
Education and Research EAER
State Secretariat for Economic Affairs SECO

Monitoring & Evaluation



Annual Meeting 2013



Monitoring – Rationale / Purpose

Rationale

Assessing the implementation progress at different stages of the Swiss Contribution to review the achievement of **project results** and their **contribution to the overall objective of the Swiss Contribution** to reduce economic and social disparities.

Purpose

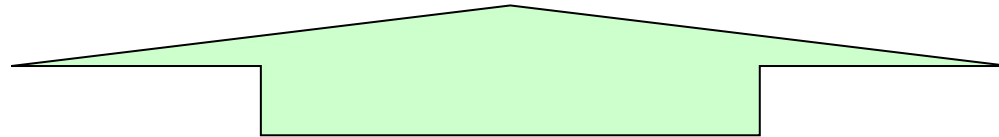
- Project and programme steering (objectives, budget, time constraints)
- Accountability and evidence of achievements vis-à-vis stakeholders
- Learning



Objectives

Overall
Objective

Reduction of
disparities



Specific
Objectives

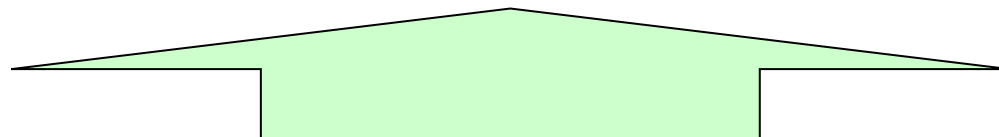
Promoted
economic
growth and
enhanced
employment
conditions

Preserved
environment

Reinforced
civil society

Enhanced
social
security

Enhanced
security and
safety



Project
Objectives

Project

Project

Project

Project

Project



Monitoring – How

- **Input monitoring:** Financial progress at project level, aggregated per focus area, country, specific objectives, etc.
- **Project results monitoring**
 - Projects monitored systematically on the basis of logframes
 - Selected groups of projects: Aggregation of results
- **Horizontal dimensions** of the Swiss Contribution
 - Impact on the bilateral relations
 - Visibility
- **Evaluations** and reviews to complement monitoring



Project results monitoring

Systematic project monitoring

- **Interim and Annual Reports:** Timely, substantial, approved and commented by NCU
- **Project visits:** Regular and short-term, to be coordinated with NCU
- Participation in **Steering committees** according to PA

Reporting on the 5 Specific Objectives

- Annual Reports of the NCU
- Final Report of the NCU

Cluster projects

- Application of defined indicators for cluster projects



Reports

- **Interim Reports (comprehensible **INVOICE**) = Payment Claim**
 - Always linked to Reimbursement Request
- **Annual Project Report (**STEERING**)**
 - Consolidated physical progress and financial situation (calendar year and cumulative), comparison with plan and budget, incl. co-financing
 - Description of activities, outputs, and achieved outcomes (reference to baseline in PA / Logframe), address risks and mitigation measures
- **Project Completion Report (**CONCLUSIONS**)**
 - Final financial report
 - Achieved results (description of outputs, outcomes and impacts)
 - Lessons learned and conclusions



Horizontal dimensions

Enhanced bilateral relations

- Impact on **bilateral relations** with the partner country
- Topic to be regularly covered in the **Annual Meetings**

Visibility

- Communicate important **project results**
- Show cases, **success stories**: may be jointly developed between NCU / SCO



Evaluations and reviews

Intermediate Project Reviews/Evaluations

- As **specified in the project agreements**, will be included in the monitoring plan of the SCO
- Proposal based on monitoring results

Project Evaluation after completion

Evaluations of thematic portfolio / bilateral cooperation programmes



Cluster Projects – 4 Clusters / 57 Projects

- **SME Financing**

- PL, HU, CZ, LV, RO
- ca. CHF 106 Mio.

- **CO2 Reduction**

- PL (13), HU (1), CZ (6), EE (1), LT (1), SI (2), RO (2)
- ca. CHF 241 Mio.

- **Environment Projects**

- PL (7), HU (6), SK (2)
- ca. CHF 22 Mio.

- **Research**

- PL (2), HU (6), LT (1), BG (1), RO (1)
- ca. CHF 58 Mio.



Cluster Project: Energy efficiency in hospitals

Standard Indicators for the Cluster “CO2 Reduction”

Typical Objectives	Standard Indicators
i.e. objectives mentioned in a selection of project proposals (advocacy / decision notes)	i.e. indicators that relate to the different objectives but can be aggregated
Outcomes	Outcome indicators
<ul style="list-style-type: none">• Increased production of electricity with renewable energy.• Increased production (in megawatts)• Increased energy efficiency in public buildings	<ul style="list-style-type: none">▪ Amount of electrical and thermal energy produced▪ Amount of electrical and thermal energy saved▪ CO2-equivalent of the energy saved, respectively produced from renewable, CO2-free sources
Outputs	Output indicators
<ul style="list-style-type: none">• Bio-gas plant operational• New heating and cooling system installed• Buildings renovated with energy efficient technology – EET (e.g. insulation)• Photovoltaic noise barrier is installed• New district heating system installed• New wood fired boiler station installed	<ul style="list-style-type: none">▪ Nos. of plants (for renewable energy) installed▪ Km of district heating system installed▪ Nos. of buildings renovated with EET



Cluster Project: Research

Standard Indicators for the Cluster “Swiss Research Partnership”

objectives of the Swiss re- search programmes	EU indicators	CHF Indicators with Eurostat reference indicators	CH indicators without Euro- stat reference indicators
<u>Impact</u> Promote Economic growth	gross domestic expenditure (GERD as % of GDP) per country and total, public funds, all sectors	Amount of millions in CHF disbursed for the research programmes per country and in total	
<u>Outcome</u> benefits for end-users at policy level and/or at societal level	Total European patent applications per country and year	Number of submitted patent applications related to the Project’s implementation	Number of innovations/inventions in terms of product, process, methodology etc. implemented and used including those submitted for patent
<u>Output</u> Strengthening individual capacities	Doctoral graduates per 1000 population aged 25-34 per country researchers as a percentage of persons employed, per country, all sectors, by gender and in total	number of doctoral graduates who receive their grades following the participation in the research programmes per country (including CH) and in total number of researchers (including doctoral graduates) in the programmes per country (including CH), by gender and in total	



Cluster Project: Research

<u>Output</u> Strengthening research institutions	EU Structural funds for research infrastructure (new member states)	Expenditure for purchased scientific research infrastructure such as instruments, equipment in laboratories etc	Number of research institutions participating per country/in total
<u>Output</u> transfer and sharing of knowledge strengthening the research cooperation	EU-27 — Evolution of scientific publications and co-publications, Share of co-publications (as regard to publications and to co-publications) which are with EU partners, among which with the 10 Member States with the lowest R&D intensity	Number of publications and co-publications written by researchers within the Swiss research projects Share of co- publications (as regard to publications and co-publications) written by researchers within the Swiss research projects	Number of joint research projects implemented per country/thematic area/total Number of seminars, workshops, conferences organised by the projects Number of participants at seminars, workshops, conferences



Feedback to PIR, APR, PCR

- In general very good quality of Lithuanian reports!
- Should be problem-oriented: Importance of justification for deviations and suggested corrective measures
- Result-oriented: APR reporting on outputs, outcomes and impacts is important (especially for the cluster project)
- Importance of realistic disbursement planning in APR
- Information on public procurement planning (non-objection)



Consolidation of NCU/SCO Monitoring Plan

- Switzerland would like to visit each project at least once and - based on risk oriented aspects - even several times during the implementation phase.
- Planning of the NCU/CPMA? Joint site visits?



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Thanks for your attention!

Questions / Discussion

